

#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Yujin ZHENG, et al.

Application No.: 10/525,670

Filed: February 25, 2005

For: OPTICAL CONDENSER DEVICE

Group Art Unit: Unassigned

Examiner: Unassigned

Commissioner for Patents

MAIL STOP MISSING PARTS

RESPONSE TO NOTICE TO FILE MISSING PARTS

1. This replies to the Notice to File Missing Parts of Nonprovisional Application mailed September 6, 2005.

A copy of the Notice To File Missing Parts Of Nonprovisional Application is enclosed.

2. Declaration Or Oath

☒ No declaration or oath was filed. Enclosed is the original Combined Declaration and Power of Attorney.

☒ The specification attached to the declaration is a copy of the specification and any amendments thereto which were filed in the PTO to obtain the filing date.

☐ The declaration or oath which was filed was determined to be defective. A new original Combined Declaration and Power of Attorney is attached.

3. English Translation of Non-English Language Papers

☐ Submitted herewith is a verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the PTO.

Also enclosed is the Verification of Translation Document.

4. Small Entity Status

☐ Small entity status under 37 C.F.R. § 1.27 is asserted for the present application.

5. Extension of Time

The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136(a) apply.

☐ Applicant petitions for an extension of time, the fees for which are set out in 37 C.F.R. § 1.17(a), for the total number of months checked below:

Total months requested	Fee for extension	[Fee for Small Entity]
<input type="checkbox"/> one month	\$ 120.00	\$ 60.00
<input type="checkbox"/> two months	\$ 450.00	\$225.00
<input type="checkbox"/> three months	\$1,020.00	\$510.00
<input type="checkbox"/> four months	\$1,590.00	\$795.00
<input type="checkbox"/> five months	\$2,160.00	\$1,080.00

Extension of time fee due with this request: \$0.00

If an additional extension of time is required, please consider this a Petition therefor.

☒ Applicant believes that no extension of time is required. However, in the event applicant has inadvertently overlooked the need for a petition and fee for extension of time, applicant hereby petitions for such an extension and requests that any fee therefor be charged to our Deposit Account No. 50-0573.

6. Assignment

☒ An assignment of the invention to HAMAMATSU PHONICS K.K. and a PTO Form-1595, Recordation Form Cover Sheet, are enclosed.

7. Fee Calculation

11/10/2005	LLANDGRA 00000028 10525670			Fee Code
01 FC:1617	130.00 OP	Basic Fee-\$300/\$150	Previously Paid	1011/2011
	Number Filed	Number Extra	at a Rate of (Lg./Sm.Entity)	
Total Claims	9 - 20 =	0	\$50/\$25 each=	\$0.00 1202/2202
Independent Claims	1 - 3 =	0	\$200/\$100 each=	\$0.00 1201/2201
Multiple dependent claim(s), if any			\$360/\$180	\$0.00 1203/2203
Application Size Fee - \$250.00 for each additional 50 sheets that exceed 100=				\$0.00 1081/2081
Search Fee		\$500/\$250	Previously Paid	1111/2111
Examination Fee		\$200/\$100	Previously Paid	1311/2311
		SUB-TOTAL =	\$0.00	
Late Filing Surcharge Fee (37 C.F.R. § 1.16(e))		\$130/\$65	\$130.00	1051/2051
		\$120/\$60 (1 Month)		1251/2251
		\$450/\$225 (2 Months)		1252/2252
		\$1020/\$510 (3 Months)		1253/2253
		\$1590/\$795 (4 Months)		1254/2254
		\$2160/\$1080 (5 Months)	\$0.00	1255/2255
		SUB-TOTAL =	\$130.00	
Fee For Application Filed With A Non-English Specification (37 C.F.R. § 1.17(i) and § 1.52(d))		\$130.00	\$0.00	1053
Assignment Recordation Fee		\$ 40.00	\$40.00	8021
		TOTAL FILING FEE =	\$170.00	

8. Fee Payment

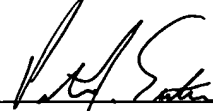
- ☒ Enclosed is a check in the amount of \$170.00 representing the total fee due.
- ☐ The Commissioner is hereby authorized to charge the total fee due to Deposit Account 50-0573.
- ☒ **Except** for issue fees payable under 37 C.F.R. §1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R §1.16 and §1.17 which may be required, or credit any overpayment to Deposit Account No. 50-0573.

9. Additional papers enclosed.

- ☐ Preliminary Amendment
- ☐ Submission of Replacement Drawings with _____ sheets of drawings
- ☐ Claim for Priority
- ☐ Certified copy(ies) of priority document(s): _____
- ☒ Information Disclosure Statement
- ☒ Form PTO-1449, 10 non-US references as listed
- ☐ Declaration of Biological Deposit
- ☐ Submission of "Sequence Listing", computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.
- ☐ Other: _____

Respectfully submitted,

DRINKER BIDDLE & REATH LLP



Peter J. Sistare, Reg. No. 48,183

Dated: November 7, 2005

Customer No. 55694

DRINKER BIDDLE & REATH LLP

1500 K. Street, N.W., Suite 1100

Washington, DC 20005-1209

Tel: (202) 842-8800

Fax: (202) 204-0289



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/525,670	FIRST NAMED APPLICANT Yujin Zheng	ATTY. DOCKET NO. 046124-5360
---	--------------------------------------	---------------------------------

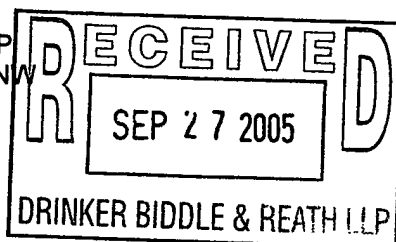
INTERNATIONAL APPLICATION NO.

PCT/JP03/10963

I.A. FILING DATE 08/28/2003	PRIORITY DATE 08/30/2002
--------------------------------	-----------------------------

09629

MORGAN LEWIS & BOCKIUS LLP
1111 PENNSYLVANIA AVENUE NW
WASHINGTON, DC 20004



CONFIRMATION NO. 7650
371 FORMALITIES LETTER
OC000000016938347
OC000000016938347

Date Mailed: 09/06/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 02/25/2005
- English Translation of the IA filed on 02/25/2005
- Copy of the International Search Report filed on 02/25/2005
- Preliminary Amendments filed on 02/25/2005
- U.S. Basic National Fees filed on 02/25/2005
- Priority Documents filed on 02/25/2005

Docketed 9-27-05 Attorney JGS/PAS
Case 46124-5360
Due Date 11-6-05
Action missing requirements
By PSM Chk _____

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

RECEIVED

SEP 09 2005

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

JOHN L ANDERSON

Telephone: (703) 308-9140 EXT 211

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/525,670	PCT/JP03/10963	046124-5360